

**U.S. COMMODITY FUTURES TRADING COMMISSION**

Three Lafayette Centre
1155 21st Street, NW, Washington, DC 20581
www.cftc.gov

Office of Proceedings

LARRY R. WILLIAMS

(2 cases)

1. 88-R296
Harold C. Paull, Jr. v. Stotler & Co. /dba/ Stotler Funds, Inc. and Larry R. Williams
Date filed: 5/27/88
Allegation(s): Breach of Fiduciary Duty
Amount Claimed: \$137,611.61
Status: Initial Decision/Dismissed 6/1/89

2. 88-R350
Susan Kringel v. Larry R. Williams
Date filed: 7/15/88
Allegation(s): Unauthorized Trading
Amount Claimed: \$100,000.00
Status: Order of Dismissal 11/14/89

Updated as of 6/2/05 mh